



CIRO · OCRI

Canadian Investment
Regulatory
Organization

Organisme canadien
de réglementation
des investissements

CIRO Proficiency Program

Policy for Reviews

Supported by



FitchLearning



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Purpose of Policy

The purpose of the Review Policy is to define the circumstances under which a Candidate can request a review of a prior decision made by CIRO or Fitch Learning relating to a candidate's conduct and to describe the process for doing so.

Scope of Policy

There is no right to request a review of any decision relating to the CIRO exams administered by Fitch Learning unless the consequence includes one of the following:

- Suspension on enrolling or re-enrolling for an exam for a period of more than 6 months, or
- A requirement to write an exam in person and there is no Prometric test centre located within 300 km or more of Candidate's residence.

The Decision Maker will be a CIRO Senior Officer who has not been involved in the original decision.

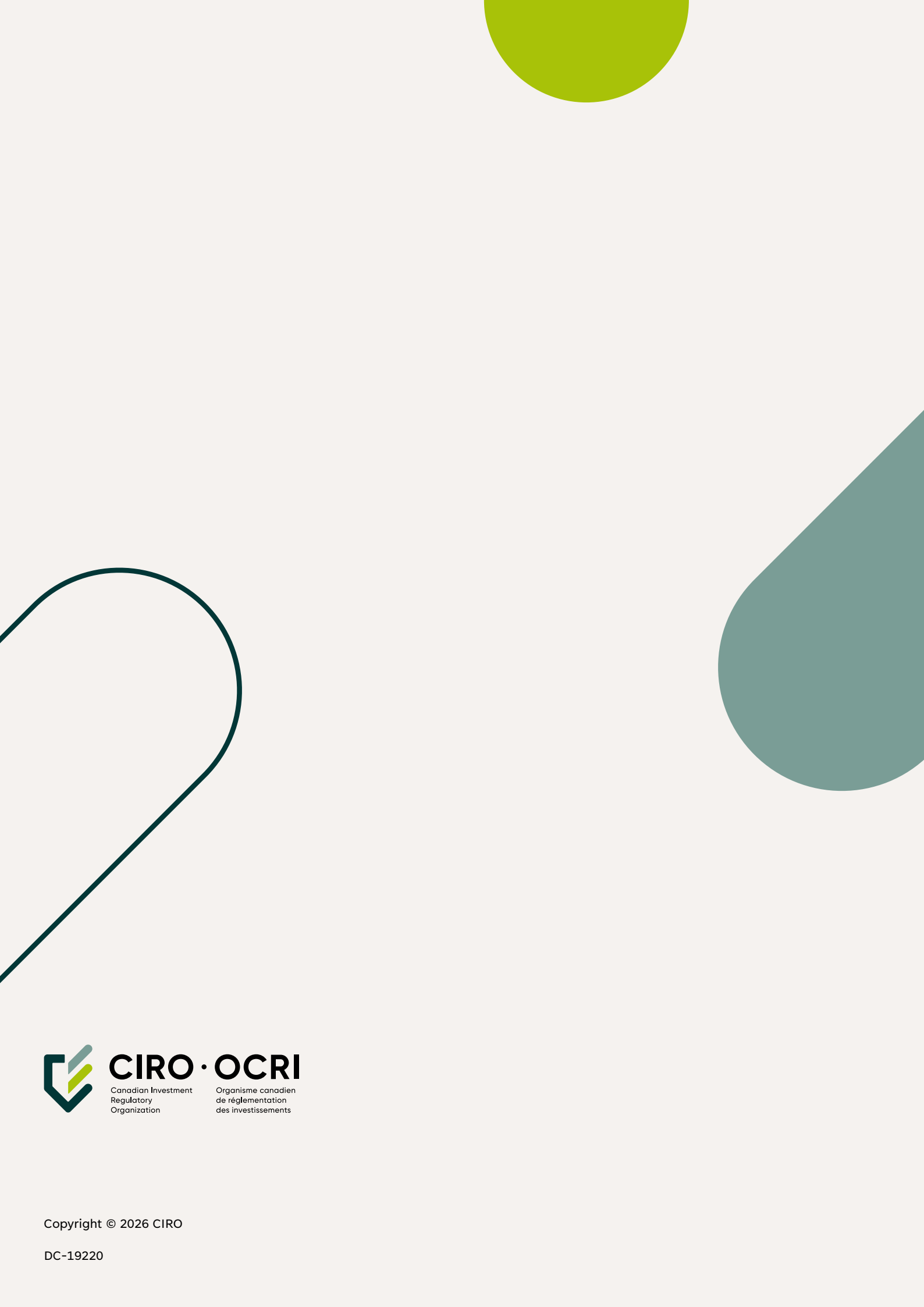
The Decision Maker who is reviewing a prior decision may uphold, modify, overturn the prior decision, or issue a new decision.

Candidates will receive written reasons for the review decision.

The decision of the Decision Maker is final.

Roles and Responsibilities

CIRO is responsible for ensuring procedural fairness in decision-making for Candidates who submit a request for review.



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